



Allowance Transfer

This form is required to record a transfer of allowances under the NOx Budget Program from one account to another in the NOx Allowance Tracking System (NATS). Upon receipt of a completed allowance transfer form, EPA will move the requested allowances from the transferor's account to the transferee's account. The Authorized Account Representative (AAR) should notify all persons who have an ownership interest in the allowances held in an account every time he or she makes a NOx Budget Program submittal.

STEP 1

Enter account information about the transferor (in whose account the allowances currently reside).

Transferor:

NATS Account Number	
AAR Name	AAR ID Number
Address	
Phone Number	
Fax Number	

STEP 2

Enter account information about the transferee (into whose account the allowances are being transferred).

Transferee:

NATS Account Number	
AAR Name	AAR ID Number
Address	
Phone Number	
Fax Number	

STEP 3

Complete Step 4. The transferor AAR should read the certification, print name, and sign and date.

I am authorized to make this submission on behalf of the owners and operators of the budget source (or in the case of general accounts, the parties with an ownership interest in the allowances held in the account) and I hereby certify under penalty of law, that I have personally examined the foregoing and am familiar with the information contained in this document and all attachments, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe the information is true, accurate and complete. I am aware that there are significant penalties for submitting false information, including possible fines and imprisonment.

Transferor:

Name	
Signature	Date

